

Key Information Document

Purpose

This document provides you with key information about this investment product. It is not marketing material. The information is required by law to help you understand the nature, risks, costs, potential gains and losses of this product and to help you compare it with other products.

Product

Cassiopeia UCITS

a sub-fund of **Dominicé Funds plc**

Class D GBP (IE00BD96WM56)

Cassiopeia UCITS is authorised in Ireland and regulated by the Central Bank of Ireland (the "Central Bank").

The PRIIP Manufacturer and the Management Company is Waystone Management Company (IE) Limited which is authorised in Ireland and regulated by the Central Bank of Ireland. For more information on this product, please refer to www.dominice.com or call +353(0)16192300.

Accurate as of: 4 December 2023

What is this product?

Type

This is an investment fund established as a company with variable capital and segregated liability between sub-funds, incorporated with limited liability under the laws of Ireland.

Objectives

Investment objective The Fund aims to generate positive returns over the medium to long term by investing into a portfolio of financial derivative instruments and a portfolio of debt instruments.

Investment policies The portfolio of financial derivative instruments consists of listed futures and options on equity indices and equity volatility indices, as well as foreign exchange swaps, forwards and structured products.

Foreign exchange instruments are used for hedging purposes only. Listed options and futures on equity indices and equity volatility indices are used both for hedging and capital appreciation.

The indices in which the Fund may invest are cleared by the Central Bank or meet its requirements. Underlying equity indices may include (but are not limited to) the S&P 500 Index, Euro Stoxx 50 Index, DAX Index, FTSE 100 Index, NASDAQ-100 Index, Russell 2000 Index and underlying equity volatility indices may include (but are not limited to) the CBOE VIX Index, Euro Stoxx 50 Volatility Index, CBOE Russell 2000 Volatility Index, CBOE Nasdaq-100 Volatility Index.

Listed options and futures on equity indices and equity volatility indices constitute a diversified portfolio of trades that fall into one of the following categories:

- **Relative Value:** where one asset (long) will relatively outperform another asset (short).
- **Market-neutral:** non-directional pair trading where one asset minimizes the market-related risks associated with the other asset.
- **Directional:** seeking to correctly anticipate the change in value of a particular asset.
- **Non-linear:** seeking to benefit from the underlying price move so that the profit or loss made is not linear.

Based on market conditions, the Fund shall determine the composition of the types of trades mentioned above and the optimal amount of leverage at any given moment.

The portfolio of debt instruments aims to preserve capital and match the liquidity of these assets with the financing needs of the Fund by investing in cash equivalent assets of high quality and with short-term maturities, such as money market instruments (e.g. US Treasury Bills), bank deposits, corporate and sovereign bonds. The Fund may hold up to 100% of its assets in cash or cash equivalents for an extended period of time if the Investment Manager determines there is a lack of trading opportunities.

Benchmark The Fund is actively managed, meaning that the Fund is managed on an entirely discretionary basis. The Fund is managed without reference to any benchmark.

Redemption and Dealing You can buy, sell and convert Shares weekly on a Thursday (or in the event that 25 December falls on a Thursday, then Friday 26 December) via the Administrator.

Distribution Policy The Fund is an accumulating Fund and, therefore, it is not currently intended to distribute dividends to investors. The income and earnings and gains of the Fund will be accumulated and reinvested on behalf of investors.

Launch date The Fund was launched on 11/06/2015. The share class was launched on 02/06/2016.

Fund Currency The base currency of the Fund is CHF. This share class currency is GBP, and it is hedged.

Conversion You are entitled to convert from one class of the Fund to another or from one sub-fund of the Company to another (where available), subject to the Fund's conversion charge. Conversion is permitted weekly on a Thursday (or in the event that 25 December falls on a Thursday, then Friday 26 December) via the Administrator. Please refer to the Prospectus under the section entitled 'Conversion of Shares' for further information on conversion.

Asset Segregation The Fund is a sub-fund of the Company, an umbrella investment company. The assets of the Fund are segregated from those of other sub-funds in the Company (where available), meaning the liabilities of one fund cannot impact another fund. You can find out more information about the umbrella in the Prospectus and about the Fund in the Fund Supplement. The Prospectus and periodic reports are in the name of the Company.

Intended retail investor

This product is intended for investors who plan to stay invested for at least 3 years and are prepared to take on a medium-low level of risk of loss to their original capital in order to get a higher potential return. It is designed to form part of a portfolio of investments.

Term

The Fund is open-ended and has no maturity date. Subject to the liquidation, dissolution and termination rights of the Board of the Fund as set forth in the Fund Prospectus, the Fund cannot be automatically terminated. Waystone Management Company (IE) Limited, is not entitled to terminate the product unilaterally.

Practical information

Depository Northern Trust Fiduciary Services (Ireland) Limited

Further information Additional information and copies of the Prospectus, the latest annual and half yearly reports may be obtained free of charge from the Administrator, the Manager or the Distributor. The Fund's Class D GBP NAV is calculated in GBP and will be published weekly on Bloomberg and Telekurs. The Fund prices will also be available from the office of the Administrator or Distributor during normal business hours and at www.dominice.com.

What are the risks and what could I get in return?

Risks



The summary risk indicator is a guide to the level of risk of this product compared to other products. It shows how likely it is that the product will lose money because of movements in the markets or because we are not able to pay you.

Performance scenarios

The figures shown include all the costs of the product itself, but may not include all the costs that you pay to your advisor or distributor. The figures do not take into account your personal tax situation, which may also affect how much you get back.

What you will get from this product depends on future market performance. Market developments in the future are uncertain and cannot be accurately predicted.

The unfavourable, moderate, and favourable scenarios shown are illustrations using the worst, average, and best performance of the product over the last 10 years. Markets could develop very differently in the future.

The stress scenario shows what you might get back in extreme market circumstances.

Unfavourable: this type of scenario occurred for an investment between 31 January 2017 and 31 January 2020.

Moderate: this type of scenario occurred for an investment between 26 January 2015 and 26 January 2018.

Favourable: this type of scenario occurred for an investment between 24 February 2020 and 24 February 2023.

Recommended holding period		3 years	
Example Investment		10,000 GBP	
Scenarios		if you exit after 1 year	if you exit after 3 years (recommended holding period)
Minimum	There is no minimum guaranteed return. You could lose some or all of your investment.		
Stress	What you might get back after costs Average return each year	4,986 GBP -50.1%	4,869 GBP -21.3%
Unfavourable	What you might get back after costs Average return each year	8,210 GBP -17.9%	7,946 GBP -7.4%
Moderate	What you might get back after costs Average return each year	9,340 GBP -6.6%	9,510 GBP -1.7%
Favourable	What you might get back after costs Average return each year	10,696 GBP 7.0%	11,751 GBP 5.5%

What happens if Waystone Management Company (IE) Limited is unable to pay out?

The Management Company of the Fund has no obligation to pay out since the Fund design does not contemplate any such payment being made. You are not covered by any national compensation scheme. To protect you, the assets are held with a separate company, a depositary. Should the Fund default, the depositary would liquidate the investments and distribute the proceeds to the investors. In the worst case, however, you could lose your entire investment.

What are the costs?

The person advising on or selling you this product may charge you other costs. If so, this person will provide you with information about these costs and how they affect your investment.

Costs over time

The tables show the amounts that are taken from your investment to cover different types of costs. These amounts depend on how much you invest, how long you hold the product. The amounts shown here are illustrations based on an example investment amount and different possible investment periods.

We have assumed:

- in the first year you would get back the amount that you invested (0% annual return). For the other holding periods we have assumed the product performs as shown in the moderate scenario,
- 10,000 GBP is invested.

Example Investment 10,000 GBP	if you exit after 1 year	if you exit after 3 years (recommended holding period)
Total Costs	1,048 GBP	1,609 GBP
Annual cost impact*	10.5%	5.3%

(*) This illustrates how costs reduce your return each year over the holding period. For example it shows that if you exit at the recommended holding period your average return per year is projected to be 3.6% before costs and -1.7% after costs.

Composition of costs

One-off costs upon entry or exit		Annual cost impact if you exit after 1 year
Entry costs	5.00% of the amount you pay in when entering this investment. The entry charge shown is a maximum figure. In some cases, you might pay less – you can find this out from your financial advisor.	500 GBP
Exit costs	3.00% of your investment before it is paid out to you. The exit charge shown is a maximum figure. In some cases, you might pay less – you can find this out from your financial advisor	300 GBP
Ongoing costs taken each year		Annual cost impact if you exit after 1 year
Management fees and other administrative or operating costs	1.98% of the value of your investment per year. This is an estimate based on actual costs over the last year.	198 GBP
Transaction costs	0.02% of the value of your investment per year. This is an estimate of the costs incurred when we buy and sell the underlying investments for the product. The actual amount will vary depending on how much we buy and sell.	2 GBP
Incidental costs taken under specific conditions		Annual cost impact if you exit after 1 year
Performance fees	0.48% The actual amount will vary depending on how well your investment performs. The aggregated cost estimation above includes the average over the last 5 years.	48 GBP

How long should I hold it and can I take money out early?

Recommended holding period: 3 years

This product is designed for longer term investment; you should be prepared to stay invested for at least 3 years. However, you can redeem your investment at any time during this period, or hold the investment longer. You can buy, sell and convert Shares weekly on a Thursday (or in the event that 25 December falls on a Thursday, then Friday 26 December) via the Administrator.

How can I complain?

You can send your complaint to the Fund's Management Company at the following postal address: 35 Shelbourne Rd, Ballsbridge, IE - Dublin, D04 A4E0, Ireland or by e-mail to complianceeurope@waystone.com.

If you have a complaint about the person that advised you about this product, or who sold it to you, they will tell you where to complain.

Other relevant information

Cost, performance and risk The cost, performance and risk calculations included in this key information document follow the methodology prescribed by EU rules.

Performance scenarios You can find previous performance scenarios updated on a monthly basis at <https://www.dominice.com/funds/ucits/>.

Past performance You can download the past performance over the last 7 year(s) at <https://www.dominice.com/funds/ucits/>.

Additional information Details of the up-to-date remuneration policy of the Management Company (including a description of how remuneration and benefits are calculated and the identity of persons responsible for awarding the remuneration and benefits) are available on www.waystone.com. A paper copy will be made available upon request and free of charge by the Management Company.

The Fund is subject to the tax laws and regulations of Ireland. Depending on your home country of residence, this may have an impact on your investment. For further details, please speak to an adviser.

Information for investors in Switzerland The Fund is registered in Ireland. The Representative in Switzerland is Acolin Fund Services AG, Leutschenbachstrasse 50, CH-8050 Zurich and the Paying Agent is Swissquote Bank SA, Chemin de la Crétaux 33, CH-1196 Gland. The Prospectus, the Key Information Documents, the Memorandum & Articles of Association, the Annual and Semi Annual Reports may be obtained, free of charge, from the Representative.