

## KEY INVESTOR INFORMATION

This document provides you with key investor information about this Fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this Fund. You are advised to read it so you can make an informed decision about whether to invest.

### Cassiopeia UCITS (the “Fund”)

a sub-fund of Dominicé Funds plc (the “Company”)

Class D GBP (ISIN: IE00BD96WM56)

This Fund is managed by KBA Consulting Management Limited (the “Manager”)

## OBJECTIVES AND INVESTMENT POLICY

The Fund aims to generate positive returns over the medium to long term by investing into a portfolio of financial derivative instruments and a portfolio of debt instruments.

The portfolio of financial derivative instruments consists of listed futures and options on equity indices and equity volatility indices, as well as foreign exchange swaps and forwards.

Foreign exchange instruments are used for hedging purposes only. Listed options and futures on equity indices and equity volatility indices are used both for hedging and capital appreciation.

The indices in which the Fund may invest are cleared by the Central Bank or meet its requirements. Underlying equity indices may include (but are not limited to) the S&P 500 Index, Euro Stoxx 50 Index, DAX Index, FTSE 100 Index, NASDAQ-100 Index, Russell 2000 Index and underlying equity volatility indices may include (but are not limited to) the CBOE VIX Index, Euro Stoxx 50 Volatility Index, CBOE Russell 2000 Volatility Index, CBOE Nasdaq-100 Volatility Index.

Listed options and futures on equity indices and equity volatility indices constitute a diversified portfolio of trades that fall into one of the following categories:

- Relative Value: where one asset (long) will relatively outperform another asset (short).
- Market-neutral: non-directional pair trading where one asset minimizes the market-related risks associated with the other asset.
- Directional: seeking to correctly anticipate the change in value of a particular asset.
- Non-linear: seeking to benefit from the underlying price move so that the profit or loss made is not linear.

Based on market conditions, the Fund shall determine the composition of the types of trades mentioned above and the optimal amount of leverage at any given moment.

The portfolio of debt instruments aims to preserve capital and match the liquidity of these assets with the financing needs of the Fund by investing in cash equivalent assets of high quality and with short-term maturities, such as money market instruments (e.g. US Treasury Bills), bank deposits, corporate and sovereign bonds. The Fund may hold up to 100% of its assets in cash or cash equivalents for an extended period of time if the Investment Manager determines there is a lack of trading opportunities.

The Fund is actively managed, meaning that the Fund is managed on an entirely discretionary basis. The Fund may measure its performance relative to a benchmark index for reference or investor communication purposes. However, the performance of the Fund relative to the benchmark index is not factored in any way into the investment process and the Fund does not operate any form of target to outperform a benchmark index.

### Income

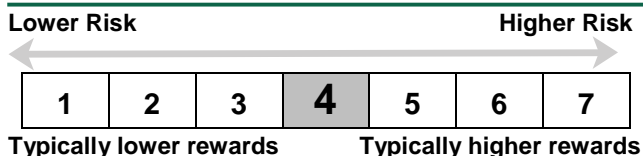
The Fund is an accumulating Fund and, therefore, it is not currently intended to distribute dividends to investors. The income and earnings and gains of the Fund will be accumulated and reinvested on behalf of investors.

### Dealing

You can buy, sell and convert Shares weekly on a Thursday (or in the event that 25 December falls on a Thursday, then Friday 26 December) via the Administrator.

The Fund is suitable for investors who have an investment horizon of 3 years and who can accept a high level of volatility.

## RISK AND REWARD PROFILE



This indicator is a measure of the Fund's volatility. The higher a fund's past volatility, the higher the number on the scale and the greater the risk that investors in that fund may have made losses as well as gains. The lowest number on the scale does not mean that a fund is risk free.

**Why is this Fund category 4?** The Fund was graded as a 4 because of the range and frequency of price movements of the underlying investments in which the Fund invests. The Fund's volatility has been assessed as Medium risk. The risk category was calculated using simulated historical performance data and may not be a reliable indicator of the Fund's future risk profile. The risk and reward profile shown is also not guaranteed to remain the same and may shift over time.

**The following risks are considered material and may not be fully captured by the risk and reward indicator:**

**Derivatives Risk:** where derivatives are used to obtain, increase or reduce exposure, these derivatives may have an impact on the Fund's risk profile.

**Counterparty Risk:** The risk that a counterparty will not live up to their contractual obligations resulting in a potential loss to the Fund.

**Liquidity Risk:** The risk that the financial instruments held by the Fund may not readily be sold to prevent substantial losses due to market conditions and/or the characteristics of the instruments themselves.

**Currency Risk:** investing in assets denominated in a currency other than the share class currency exposes the value of the investment to exchange rate fluctuations.

A complete description of risk factors is set out in the Prospectus of the Fund in the section entitled 'Risk Factors'.

## CHARGES

The following charges are used to pay the costs of running the Fund, including the costs of marketing and selling it. Overall, they reduce the potential growth of your investment.

One-off charges taken before or after you invest	
Entry charge	Up to 5% of the subscription amount
Exit charge	Up to 3% of the redemption amount
This is the maximum that might be taken out of your money before it is invested or before the proceeds of your investment are paid out. It should be noted that the rates applied to investors may vary.	
Charges taken from the Fund over a year	
Ongoing charges	2.18%
Charges taken from the Fund under certain specific conditions	
Performance fee	20% of the increase in the NAV of the Fund during a calendar quarter, subject to high water mark. The actual performance fee in 2021 was 0.01% of NAV.

The **entry** and **exit charges** shown are maximum figures. In some cases you might pay less – you can find this out from your financial adviser.

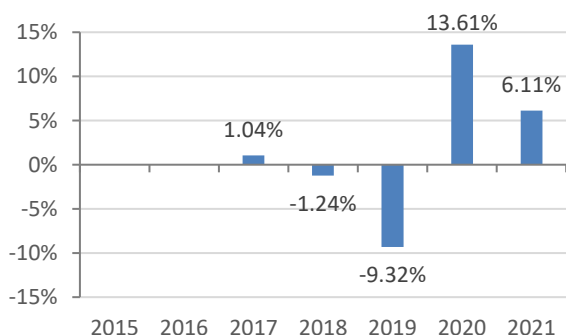
A conversion fee of a maximum 1% may apply.

The **ongoing charges** figure has been calculated based on the actual expense figure for 2021. This figure may vary from year to year. It excludes:

- Performance fees
- Portfolio transaction costs

For more information about charges, please see **Section 4 “Fees, Charges and Expenses” of the Company Prospectus and Section 14 “Fees and Expenses” of the Fund Supplement, which is available at [www.dominice.com](http://www.dominice.com)**

## PAST PERFORMANCE



- The Class D share class was launched on 02 June 2016.
- Performance for Class D GBP of the Fund is calculated in GBP
- The past performance calculation does not take into account the entry and exit charges but does take into account the ongoing charges referred to above
- Performance in the past is not a reliable indicator of future results.

## PRACTICAL INFORMATION

**Manager:** KBA Consulting Management Limited

**Investment Manager:** Dominicé & Co – Asset Management

**Distributor:** Dominicé & Co – Asset Management

**Depositary:** Mitsubishi UFJ Investor Services & Banking (Luxembourg) S.A., Dublin Branch

**Administrator:** MUFG Alternative Fund Services (Ireland) Limited

**NAV/Pricing:** The Fund's Class D GBP NAV is calculated in GBP and will be published weekly on Bloomberg and Telexkurs. The Fund prices will also be available from the office of the Administrator or Distributor during normal business hours and at [www.dominice.com](http://www.dominice.com).

**Tax:** The Fund is subject to the tax laws and regulations of Ireland. Depending on your home country of residence, this may have an impact on your investment. For further details, please speak to an adviser.

**Conversion:** You are entitled to convert from one class of the Fund to another or from one sub-fund of the Company to another (where available), subject to the Fund's conversion charge. Conversion is permitted weekly on a Thursday (or in the event that 25 December falls on a Thursday, then Friday 26 December) via the Administrator. Please refer to the Prospectus under the section entitled 'Conversion of Shares' for further information on conversion.

**Umbrella UCITS:** The Fund is a sub-fund of the Company, an umbrella investment company. The assets of the Fund are segregated from those of other sub-funds in the Company, meaning the liabilities of one fund cannot impact another fund.

You can find out more information about the umbrella in the Prospectus and about the Fund in the Fund Supplement. The Prospectus and periodic reports are in the name of the Company.

**Prospectus and Reports:** Additional information and copies of the Prospectus, the latest annual and half yearly reports may be obtained free of charge from the Administrator, the Manager or the Distributor. Dominicé Funds plc may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the Prospectus.

**Other:** Details of the up-to-date remuneration policy of the Manager (including a description of how remuneration and benefits are calculated and the identity of persons responsible for awarding the remuneration and benefits) are available on [www.kbassociates.ie](http://www.kbassociates.ie). A paper copy will be made available upon request and free of charge by the Manager.

### SWITZERLAND

The Fund is registered in Ireland. The Representative in Switzerland is ACOLIN Fund Services AG, Leutschenbachstrasse 50, CH-8050 Zurich and the Paying Agent is Swissquote Bank SA, Chemin de la Crétaux 33, CH-1196 Gland. The Prospectus, the Key Information Documents or Key Investor Information Documents (KIIDs), the Memorandum & Articles of Association, the Annual and Semi-annual Reports may be obtained, free of charge, from the Representative. Past performance is no indicator of current or future performance. The performance data does not take into account commission and costs incurred upon the issue or sale of shares.

**The Fund is authorised in Ireland and is regulated by the Central Bank of Ireland.  
KBA Consulting Management Limited is authorised in Ireland and regulated by the Central Bank of Ireland.  
The information contained within this document is accurate as at 18 February 2022.**