



UBAM - SWISS SMALL AND MID CAP EQUITY

Class UC CHF (capitalisation share)

Factsheet | September 2024

FUND CHARACTERISTICS

Legal form	UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)
Fund domicile	LUXEMBOURG
SFDR Classification	Art. 8
Currency	CHF
NAV C	127.76
Fund's AUM	CHF 134.77 mio
Track record since	22 April 2020
Minimum investment	-
Subscription	Daily
Redemption	Daily
Price publication	www.ubp.com
Management fee	0.65 %
Number of holdings	53
ISIN	LU1088708265
Telekurs	24880796
Bloomberg ticker	USSMUCC LX
Index	SWISS SPI EXTRA - RI - CHF - Composite

The benchmark is for information purposes only. The investment objective does not aim at replicating the benchmark.

SPECIFIC RISKS

Counterparty risk, ESG and sustainability risk, liquidity risk
Further information on the fund's potential risks can be found in the prospectus or in the Key Investor Information Documents or Key Information Documents available on www.ubp.com. Any capital invested may be at risk and investors may not get back some or all of their original capital.

	Fund	Index
ESG Quality Score	7.10	7.18
Environmental	5.06	5.34
Social	5.04	5.17
Governance	6.65	6.58
Weighted Average Carbon Intensity*	26.25	30.75

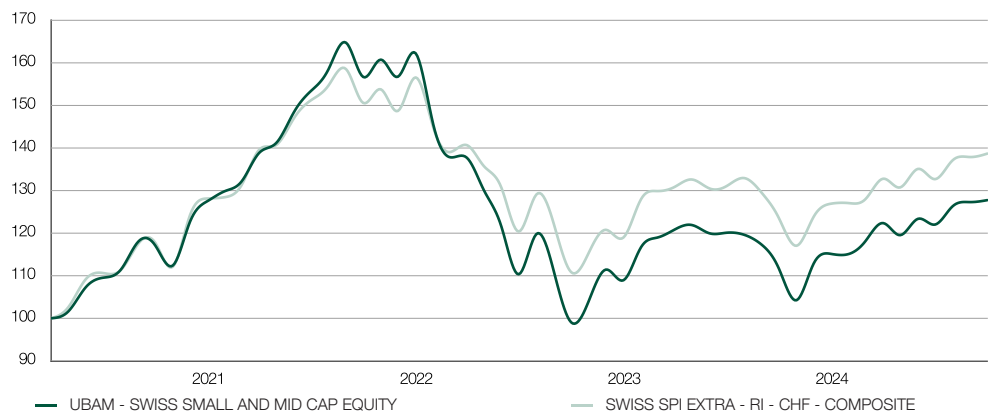
Source: ©2024 MSCI ESG Research LLC - Reproduced by permission.
*(tons CO2e/\$M Sales)



ABOUT THE FUND

The fund offers access to global growth opportunities through high-quality Swiss small- and mid-cap stocks. UBAM - Swiss Small and Mid Cap Equity is actively managed and integrates ESG considerations along its investment process. The concentrated portfolio is a reflection of the investment team's high-conviction ideas in the Swiss non-large cap space.

PERFORMANCE EVOLUTION CHF (NET OF FEES)



Performance over 10 years or since inception. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise.

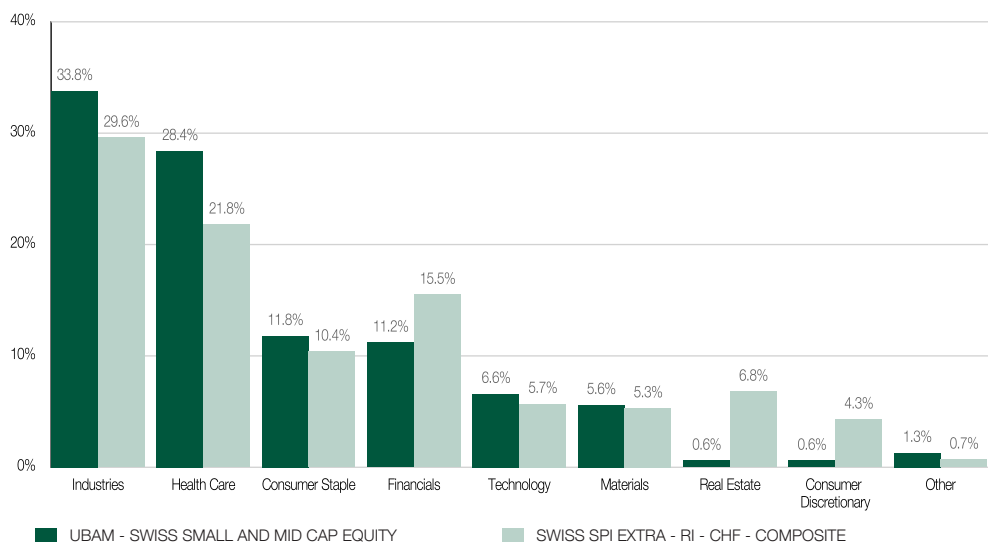
PERFORMANCE HISTORY CHF (NET OF FEES)

	September 2024	YTD	2023	2022	2021	2020
UBAM - SWISS SMALL AND MID CAP EQUITY	0.36 %	10.98 %	5.67 %	-32.86 %	27.20 %	27.57 %
SWISS SPI EXTRA - RI - CHF - COMPOSITE	0.58 %	9.26 %	6.53 %	-24.02 %	22.19 %	28.15 %

	3 months	6 months	1 year	3 years	5 years	10 Years	Since inception
UBAM - SWISS SMALL AND MID CAP EQUITY	4.71 %	4.40 %	14.41 %	-18.46 %			27.76 %
SWISS SPI EXTRA - RI - CHF - COMPOSITE	4.53 %	4.44 %	12.27 %	-8.04 %			37.36 %

Since launch. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise. Past performance figures are stated in the currency of the share class and calculated with dividends reinvested; they are free of ongoing charges. The calculation does not take into account sales commissions and other fees, taxes and applicable costs to be paid by the investor. For example, with an investment of EUR 100, the actual investment would amount to EUR 99 in the case of an entrance fee of 1%. At investor level, additional costs may also be incurred (e. g. front-end load or custody fee charged by the financial intermediary).

SECTOR COMPARISON



Source of data: UBP

COUNTRY BREAKDOWN


Source of data: UBP

MAIN HOLDINGS

Asset	Sector	Fund weight	Index weight
CHOCOLADEFABRIKEN LINDT &	Consumer Staples	8.0%	3.3%
SANDOZ GROUP AG	Health Care	7.0%	4.7%
STRAUMANN HOLDING AG	Health Care	6.0%	5.1%
VAT GROUP AG	Industrials	5.1%	3.6%
BELIMO N AG	Industrials	3.9%	1.9%
ACCELLERON N AG	Industrials	3.2%	1.3%
FLUGHAFEN ZUERICH AG	Industrials	3.2%	1.2%
SIG GROUP N AG	Materials	3.1%	2.0%
GALDERMA GROUP N AG	Health Care	2.9%	1.9%
SIEGFRIED HOLDING AG	Health Care	2.8%	1.5%

Source of data: UBP

PORTFOLIO COMPOSITION MONTHLY EVOLUTION

	September	August	July	June	May
Weight of the top ten holdings	45.26 %	45.41 %	44.63 %	43.72 %	42.89 %
Number of holdings	53	53	54	54	56
Caps > USD 10 billion	31.34 %	31.58 %	28.94 %	29.14 %	29.29 %
Caps USD 2-10 billion	53.47 %	53.10 %	54.34 %	53.50 %	54.22 %
Caps < USD 2 billion	15.19 %	15.32 %	16.72 %	17.36 %	16.48 %

Source of data: UBP

ADMINISTRATION
Management Company

UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg

General distributor, Swiss representative and Swiss paying agent

Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Geneva 1

Administrative agent, registrar and transfer agent

CACEIS Bank Luxembourg Branch, 5, allée Scheffer, L-2520 Luxembourg

Custodian bank

BNP Paribas S.A. Luxembourg Branch
60 avenue J.F. Kennedy, L-1855 Luxembourg

Auditor

Deloitte Audit S.à.r.l, 20 Boulevard de Kockelscheuer, L-1821 Luxembourg

Legal form

UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)

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Representatives	
Belgium	Caceis Belgium Fund Administration Service & Technology Network Belgium, Avenue du Port 86 C, b320, B-1000 Bruxelles
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Singapore	Union Bancaire Privée, UBP SA, Singapore Branch, Level 38, One Raffles Quay, North Tower, Singapore 048583
Spain	Allfunds Banks S.a., 57 Nuria, E-28034 Madrid UBP Gestion Institucional S.A., Avenida Diagonal 520 2º, 2a-B, E-08006 Barcelona
Sweden	Skandinaviska Enskilda Banken AB (PUBL) ("SEB"), 106 40 Stockholm
Switzerland	Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Genève 1
United Kingdom	Union Bancaire Privée, 26-37 Seymour Mews, London, W1H 6BN
OTHER COUNTRIES	Countries where distribution is authorised : Finland, Netherlands

GLOSSARY
Benchmark

Index used as basis for measuring the performance of an investment fund. Also called

reference index or comparison index.

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