



# UBAM - SWISS SMALL AND MID CAP EQUITY

## Class IHC EUR (capitalisation share)

### Factsheet | August 2024

#### For qualified investors only

#### FUND CHARACTERISTICS

Legal form	UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)
Fund domicile	LUXEMBOURG
SFDR Classification	Art. 8
Currency	EUR
NAV C	149.60
Fund's AUM	CHF 134.32 mio
Track record since	25 January 2017
Minimum investment	-
Subscription	Daily
Redemption	Daily
Price publication	www.ubp.com
Management fee	0.65 %
Number of holdings	53
ISIN	LU1088706053
Telekurs	24875986
Bloomberg ticker	USSMIHE LX

**The benchmark is for information purposes only. The investment objective does not aim at replicating the benchmark.**

#### SPECIFIC RISKS

Counterparty risk, ESG and sustainability risk, liquidity risk  
Further information on the fund's potential risks can be found in the prospectus or in the Key Investor Information Documents or Key Information Documents available on [www.ubp.com](http://www.ubp.com). Any capital invested may be at risk and investors may not get back some or all of their original capital.

	Fund	Index
<b>ESG Quality Score</b>	7.11	7.19
<b>Environmental</b>	5.04	5.32
<b>Social</b>	5.06	5.18
<b>Governance</b>	6.65	6.59
<b>Weighted Average Carbon Intensity*</b>	26.96	31.53

Source: @2024 MSCI ESG Research LLC - Reproduced by permission.  
\*(tons CO2e/\$M Sales)



#### ABOUT THE FUND

The fund offers access to global growth opportunities through high-quality Swiss small- and mid-cap stocks. UBAM - Swiss Small and Mid Cap Equity is actively managed and integrates ESG considerations along its investment process. The concentrated portfolio is a reflection of the investment team's high-conviction ideas in the Swiss non-large cap space.

#### PERFORMANCE EVOLUTION EUR (NET OF FEES)



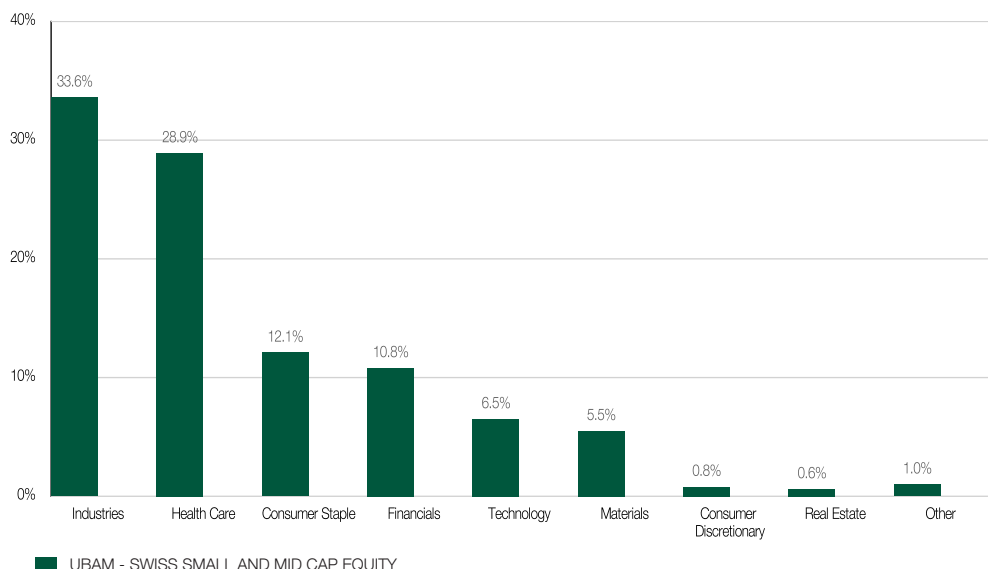
Performance over 10 years or since inception. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise.

#### PERFORMANCE HISTORY EUR (NET OF FEES)

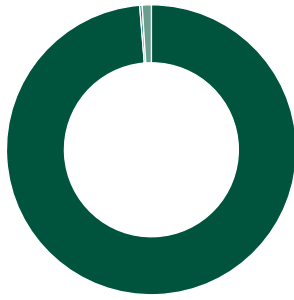
	August 2024	YTD	2023	2022	2021	2020	
UBAM - SWISS SMALL AND MID CAP EQUITY	0.86 %	11.87 %	7.28 %	-33.12 %	27.06 %	8.37 %	
	3 months	6 months	1 year	3 years	5 years	10 Years	Since inception
UBAM - SWISS SMALL AND MID CAP EQUITY	3.57 %	8.66 %	10.47 %	-21.00 %	21.75 %		49.26 %

Since launch. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise. Past performance figures are stated in the currency of the share class and calculated with dividends reinvested; they are free of ongoing charges. The calculation does not take into account sales commissions and other fees, taxes and applicable costs to be paid by the investor. For example, with an investment of EUR 100, the actual investment would amount to EUR 99 in the case of an entrance fee of 1%. At investor level, additional costs may also be incurred (e. g. front-end load or custody fee charged by the financial intermediary).

#### SECTOR COMPARISON



Source of data: UBP

**COUNTRY BREAKDOWN**


Source of data: UBP

**MAIN HOLDINGS**

Asset	Sector	Fund weight
CHOCOLADEFABRIKEN LINDT & SPRUENGL	Consumer Staples	8.3%
SANDOZ GROUP AG	Health Care	7.4%
STRAUMANN HOLDING AG	Health Care	5.4%
VAT GROUP AG	Industrials	5.2%
BELIMO N AG	Industrials	3.7%
ACCELLERON N AG	Industrials	3.3%
FLUGHAFEN ZUERICH AG	Industrials	3.1%
GALDERMA GROUP N AG	Health Care	3.1%
GEORG FISCHER AG	Industrials	3.0%
SIG GROUP N AG	Materials	3.0%

Source of data: UBP

**PORTFOLIO COMPOSITION MONTHLY EVOLUTION**

	August	July	June	May	April
Weight of the top ten holdings	45.41 %	44.63 %	43.72 %	42.89 %	42.93 %
Number of holdings	53	54	54	56	56
Caps > USD 10 billion	31.58 %	28.94 %	29.14 %	29.29 %	29.78 %
Caps USD 2-10 billion	53.10 %	54.34 %	53.50 %	54.22 %	53.67 %
Caps < USD 2 billion	15.32 %	16.72 %	17.36 %	16.48 %	16.55 %

Source of data: UBP

**ADMINISTRATION**
**Management Company**

UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg

**General distributor, Swiss representative and Swiss paying agent**

Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Geneva 1

**Administrative agent, registrar and transfer agent**

CACEIS Bank Luxembourg Branch, 5, allée Scheffer, L-2520 Luxembourg

**Custodian bank**

BNP Paribas S.A. Luxembourg Branch  
60 avenue J.F. Kennedy, L-1855 Luxembourg

**Auditor**

Deloitte Audit S.à.r.l, 20 Boulevard de Kockelscheuer, L-1821 Luxembourg

**Legal form**

UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)

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Representatives	
Belgium	Caceis Belgium Fund Administration Service & Technology Network Belgium, Avenue du Port 86 C, b320, B-1000 Bruxelles
Portugal	BEST - Banco Electronico de Serviço Total S.A., Praça Marquês de Pompal, no. 3 - 3º 1250 - 161 Lisboa
Singapore	Union Bancaire Privée, UBP SA, Singapore Branch, Level 38, One Raffles Quay, North Tower, Singapore 048583
Spain	Allfunds Banks S.a., 57 Nuria, E-28034 Madrid UBP Gestion Institucional S.A., Avenida Diagonal 520 2º, 2a-B, E-08006 Barcelona
Sweden	Skandinaviska Enskilda Banken AB (PUBL) ("SEB"), 106 40 Stockholm
Switzerland	Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Genève 1
United Kingdom	Union Bancaire Privée, 26-37 Seymour Mews, London, W1H 6BN
OTHER COUNTRIES	Countries where distribution is authorised : Finland, Netherlands

**GLOSSARY**
**Benchmark**

Index used as basis for measuring the performance of an investment fund. Also called

reference index or comparison index.

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